Substance Abuse Prevention and Treatment Block Grant (SAPTBG) Training
Agenda

- SAPT Block Grant overview
- Review of funding categories and restrictions
- Conditions and requirements to receive SAPT Block Grant funding
- Confidentiality
- Annual audit
Overview

• States will use the Block Grant program for prevention, treatment, recovery supports, and other services that will supplement services covered by Medicaid, Medicare, and private insurance
Overview

Specifically, the Block Grant funds are directed toward four purposes:

- Fund priority treatment and support services for individuals without insurance or for whom coverage is terminated for short periods of time.
- Fund those priority treatment and support services not covered by Medicaid, Medicare, or private insurance for low income individuals that demonstrate success in improving outcomes and/or supporting recovery.
Overview

• Specifically, the Block Grant funds are directed toward four purposes:
  
  o Fund primary prevention-universal, selective, and indicated prevention activities and services for persons not identified as needing treatment
  
  o Collect performance and outcome data to determine the ongoing effectiveness of behavioral health promotion, treatment and recovery support services and plan the implementation of new services on a nationwide basis
Overview

• Division of MH/DD/SA Services must apply for federal block grant funding each year

• In order to receive these funds, the State must commit to matching these funds at a specific level-called maintenance of effort

• LME/MCOs must in turn commit to matching these funds at a specific level
Overview

• Must assure that no less than 20% of the total funding is spent for Child SA Prevention services

• Must assure that providers abide by all block grant requirements

• Must assure the provision of a minimum of eight hours of Synar activities per month
Funding Restrictions

• Block grant funds CANNOT be used:
  o To provide financial assistance to “for profit” entities-non-UCR funds are considered financial assistance
  o To make cash payments to recipients
  o To purchase or improve land
Funding Restrictions

• Block grant funds CANNOT be used:
  o To purchase, construct or greatly improve buildings
  o To supplant State funding of prevention and treatment programs
  o To provide individuals with hypodermic needles/syringes for the use of illegal drugs
42 CFR Part 2 – Confidentiality

- Applies to programs that are “federally conducted, regulated or directly or indirectly assisted in any way” AND

- Also applies to any recipient of client identifying information from a substance use provider
42 CFR Part 2 – Confidentiality

• Restricts the disclosure of information that reveals that a person is receiving, has received or has requested substance abuse treatment

• Such information cannot be disclosed without the individual’s written consent—this applies to adults AND minors
Required Components of Release Form

- Name of the program and individual disclosing the information
- To whom the disclosure is being made
- Name of the client
- Reason for/purpose of the disclosure
- Specific information to be released
- Client’s signature
Required Components of Release Form

- Date signed
- Statement regarding the client’s right to revoke the consent to release at any time
- Expiration date of the consent – not to exceed one year
- Redisclosure statement
Funding Categories

- Prevention
  - Target Selective and Indicated Populations
  - Universal Prevention Services
  - Synar activities
Funding Categories

• Child SA Treatment
  o Non-UCR CASP Detention Center Funds
  o Child Substance Abuse (CSMAJ) – JJSAMHP (Juvenile Justice/Substance Abuse/Mental Health Partnership)
SAPTBG Requirements

- Prevention programs
  - Prioritize youth that are at high risk for developing patterns of substance abuse
  - Educate and counsel on substance use and addictions
  - Ensure community-based strategies to discourage use, sale and/or distribution of alcohol and tobacco by individuals for whom it is illegal
  - Develop and implement community-wide prevention plan with public or non-profit entities
Funding Categories

• Adult SA Treatment
  o Generic funds – ASTER
  o Treatment Alternatives for Safer Communities (TASC) – ASCJO
  o Pregnant Women/Women with Children – ASWOM
  o IV Drug Users – ASCDR
  o HIV Early Intervention grant
SAPTBG Requirements

• Priority admission preference – providers must have a POLICY in place to assures priority admission preference to these populations:
  o Pregnant, injecting drug users (applies to all females, regardless of age)
  o Pregnant women
  o Injecting drug users

• Providers must advertise and publicize these priority populations, as well as the admission preference
SAPTBG Requirements

• Universal TB screening
  o Providers must have POLICY in place to assure ALL children and adults that present for substance use services are screened for tuberculosis
  o Screening can be part of the clinical assessment or a separate form
  o Documentation of the screening, results and follow-up, if indicated, must be in a standard location in the chart
  o Written referral must be made to the public health department or to the client’s physician, if indicated
SAPTBG Requirements

- Providers receiving Women’s Set-Aside funding must ensure the following:
  - Women and their dependent children will be treated as a family unit
  - Referral for primary medical care and primary pediatric care
  - Child care services
  - Gender-specific treatment
  - Sufficient transportation to assure access to services
SAPTBG Requirements

- Providers receiving Women’s Set-Aside funding must ensure the following:
  - Sufficient case management to ensure all of the above are adequately addressed, secured or provided

- All of these requirements must be addressed and kept in a standard location in the chart
SAPTBG Requirements

• IV Substance Users
  o Included in the ASCDR target pop
  o Must provide and show evidence of an active outreach program for IV substance users
  o Must provide evidence of priority admission
  o Must provide evidence of a Drug-Free Workplace policy
SAPTBG Requirements

• HIV-Early Intervention Funding
  o Funding specifically allocated for HIV-AIDS testing of individuals involved in addictions treatment
  o Testing must be conducted at SA treatment provider locations
  o Services must include:
    • Pre-test counseling
    • Oral testing for HIV-AIDS
    • Post-test counseling
    • Referral and linkage to public health department for confirmatory blood testing, if oral test positive
Annual SAPTBG Audit

- Federal funds audited annually by DMH/DD/SAS
- Prevention and treatment categories audited through chart reviews using checklist designed for each specific type of funding or program:
  - Women’s Set-Aside
  - CASAWorks
  - Work First
  - IV Drugs
  - JJSAMHP
  - Generic-Individual and Program
SAPTBG Plan of Correction

• Common areas of deficiency:
  o NC-TOPPS continues to not be completed on a timely basis
  o Ensuring that there is evidence that an individual has a PCP or that a referral was made for primary health care
  o TB screenings aren’t occurring as part of the intake process
  o ASAMs not completed or completed correctly
NC-TOPPS

• North Carolina Treatment Outcomes and Program Performance System (NC-TOPPS)
  o Program used by NC Division of Mental Health, Developmental Disabilities and Substance Abuse Services (DMH/DD/SAS) to measure quality of substance abuse and mental health services and their impact on consumers’ lives
  o Provides data for meeting federal performance and outcome measurement requirements
  o Allows North Carolina to evaluate its service system in comparison to other states
NC-TOPPS

• NC-TOPPS is a Block Grant requirement
  o For providers receiving Block Grant funding, NC-TOPPS, while not a service requirement, is a funding requirement
  o Access complete training module [NC-TOPPS Training For Contracted Providers](#)
  o Remember to complete attestation at the end of the training
  o Access additional [NC-TOPPS resources](#)
Target Populations

• Access assistance with appropriate selection of target populations
  
  Disclaimer: this information is specific to the Alliance region and may not apply to other LME/MCOs outside this catchment area
Additional Assistance

- Contact the Provider Network Development Specialist assigned to your agency
- If you do not know who is assigned to your agency, contact:

  Provider Network Operations  
  (919) 651-8500  
  ProviderNetwork@AllianceBHC.org

CLICK HERE TO COMPLETE YOUR ATTESTATION NOW!